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MARITAL Subjections	138			
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2. Feb. 1960	in		0525-109-	\$4,600.00
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MARKS:			

Purpose: To study Character (MOULTRA 108).

Initiated: February 1960. A second year of study was approved in April 1961.

Contractor: Dr. as a grantee of the

Coat: \$3,500.00 (Previous year's grant was \$4,600.00).

Status: Ongoing. Believed to be on schedule.



DRAFT/

MEMORANDUM FOR: THE RECORD

SUBJECT:

MKULTRA, Subproject No. 108, Continuation

Dr. in Dr. a former colleague of Dr. in has continued to be of great assistance to Dr. that Project in It was at the suggestion of Dr. that Dr. initiated his proposal-to

- 2. Dr. 2 work in studying certain characteristics of character continues to be of definite interest to TSD/RB. Dr. is work is progressing satisfactorily.
- 3. Funding and monitoring of this project will continue to be handled by

 Accounting for

 Funds expensed shair conform to procedures established for
 - h, The total cost of this project for a period of one year is estimated not to exceed \$3500. Charges should be made against allotment 1125-1390-3902.
 - 5. The close working relationship which has been established between Dr. and Dr. will make it possible to exploit the findings of this project without revealing Agency interest.

Chief A

APPROVED FOR OBLIGATION OF FUNDS:

Research Director

Distribution: Original only

108-4

(When Filled In)

1910

Cost Account __ 1135-1390-3902

Object Class ____

Date	Remarks and References	Obligations Incurred	Obligations Liquidated	Unliquidated Balance
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CONTRACTOR OF STREET

DATE: 11 April 1961

MESORAIDUM FCR	2	COMPAROLLER

AMENGION : Finance Division

SUBJECT : MAULARA, Subproject 108 , Authorization Mo.

Under the authority grented in the memorandum dated 13 April 1997. from the DEI No the DD/A end the extension of this authority in subsequent memorands, Subproject 108 was previously approved. Under the same authority an additional sum of \$3500.00 has been authorized to cover the subprojects expenses, to be charged equinst cost center 1125-1130-1303

Chief TeD/Research Breach

APPROVED FOR OBLIGATION OF FUTDS:

Pate:

Distribution:

Crig. à 2 - Addresses

1 - 18d/00

1 - TSD/FASS 2 - TSD/RB



108-6

18 April 1961

4. *	to april a you
MEMORANDUM F	OR: CHIEF, FINANCE DIVISION
VIA .	: TSD/Budget Officer
SUBJECT	: MKULTRA, Subproject 108, Invoice No. 2 Allotment 1125-1390-3902
	No. 2 is attached covering the above subproject. s made as follows:
E Cashler's	check in the amount of \$3500,00, drawn on a payable to
	forward the check to Chief, TSD/Research Branch et Officer by Friday, 28 April 1961.
	a final invoice. However, since it is anticipated ds will be obligated for this project, the files should
	Chief
	TSD/Research Branch
Attachment: Invoice & Certifi	ications
Distribution:	
Orig & 2 - Addre	CHECKERS THE AMOUNT OF 63200
	A

18 April 1961

MEMORANDUM FOR: CHIEF, FINANCE DIVISION

VIA

1_TSD/Budget Officer

SUBJECT

: MKULTRA, Subproject 108, Invoice No. 2 Allotment 1125-1390-3902

1. Invoice No. 2 is attached covering the above subproject. Payment should be made as follows:

'Cashier's check in the amount of \$3500.00, drawn on a payable to

- 2. Please forward the check to Chief, TSD/Research Branch through TSD/Budget Officer by Friday, 28 April 1961.
- 3. This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.

Chief TSD/Research Branch

Attachment: Invoice & Certifications

Distribution:

Orig & 2 - Addressee 1 - TSD/FASS

2 - TSD/RB

TSD/RB: (18 Apr 61)

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March 10, 1964

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Memo to

Jan.: 1961- Jan. 1962

Re:

Sorry for the delay in sending this accounting to you. It was buried deep in a confidential report that had been forwarded at the time of his 1962 request for further funds.

Hope this is satisfactory.



March 10, 1963

C

Accounting of for the Year 1961

Two payments - \$3,500.00 in June 1961 and \$3,600.00 in Dec. 1961

Payment to subjects	U. S. \$ 3,000.00
Payment to consultants	2,000.00
Payment to Assistants	1,500.00
Books, postage and miscella	aneous 600.00
Total Expenditures	\$ 7,100.00

This is a true statement of the expenditures of β reported to the

I certify that services or materials have been satisfactorily received and the excenditures were incurred on official business.

Eate: Mand 18, 1969

NOTE: Only payment of \$3500 represents MKULTRA funds.

29 May 1961

MEMORANDUM FOR: Chie

ATTENTION

SUBJECT

1. Subject is a colleague and close personal friend of Early in the development of project it was recognized that sinterests, status, and contacts represented considerable operational potential. In order to protect the security of it was decided to develop on an unwitting basis while Development involved getting to become actively interested in establishing sources To motiof information and direct contacts with the vate his interest and to provide funds and a legitimate source of

attribution for his revived interest, was offered a research grant submitted a proposal for his grant on 21 October from 1959. It was approved in the amount of \$4,600.00 and the grant was made on 28 January 1960. It should be noted that was at that time and presumably still is) unwitting of any government connection with

2. As anticipated, accepted the grant and entered into his research energetically, keeping informed of his progress.
As it became apparent that was developing some useful contacts, it was developing some useful contacts, it was recommended that the grant be renewed for a second year. On 11 April 1961 it was renewed for a second year (January 1961 - January 1962) in the amount of \$3,500.00.

s departure from 3. Prior to introduced to a case officer functioning under official cover. was to recruit and to direct his activities toward specific operational goals. It was decided to continue unwitting of and government relationship, but to keep advised of developments in the case. Upon request through in TSD will respond to changes in funding and other requirements which will facilitate management of the

Chief

TSD/Research Branch

Distribution:

Orig & 1 - Addressee

2 - TSD/RB

TSD/RB: 29 May 1961



RECEIPT

I hereby acknowledge receipt of the following:

Official Check No. 20252, in the amount of \$3,500.00 drawn on the dated April 6

Date: 5-3-6(

April 13, 1961

Financial Accounting -

Feb. 1960 through January 1961

			(A
ı.	Salary (Chief Investigator	11,400.00	2,000.00
2.	Part time assistants	5,960.00	1,046.00
3.	Books, magazines and newspapers	4,104.00	720.00
4.	Contact and entertainment expenses (including gifts for subjects)	2,84.00	500.00
5.	Postage, Stationery and other office expense	1,999.00	351.00
Tot	al	\$ 26,304.00	\$ 4,617.00
Gra	int		\$4,600.00

Prepared by

The above statement summarizes Mr. expenses for the period stated above: This is a true report of the expenses as shown to us.

I have existined and approved the submitted expenditures.

Chief TSS/Chemical Division

108-11

18 April 1961

MEMORANDUM FOR:	CHIEF.	FINANCE	DIVISION
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VIA

1-TSD/Budget Officer

SUBJECT

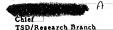
: MKULTRA, Subproject 108, Invoice No. 2 Allotment 1125-1390-3902

1. Invoice No. 2 is attached covering the above subproject.

Payment should be made as follows:

Cashier's check in the amount of \$1500.00, drawn on a payable to

- Please forward the check to Chief, TSD/Research Branch through TSD/Budget Officer by Friday, 28 April 1961.
- This is a final invoice. However, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.



Attachment:	,	100000	
Invoice & Certifications			
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Distribution:		چ.	وشت
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18 April 1961

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: Finance Division

SUBJECT

: -MRCDARA, Subgroject 108 , Authorication No.

Under the cuthority granted in the severation dated 13 April 10° .

From the BCI to the DD/A and the entension of this undersity in subrequest numerouse, Subproject 100 and previously approved. Under the
name authority on additional sun or \$3500.00 has been authorized
to occur the subprojects expenses, to be charged equinos cost center
1125-1300-3002

A

Chief . TSD/Research Branch

APPROVED FOR OPLICATION OF FUNDS:

Date: Distribution: Cris. 3 2 - Addressee

> 1 - T80/00 1 - T8D/FAS

108-13

Morch 2, 1960

C



Deor Dr.

Thank you for your letter of February 25. Mr. will be out of the country until ofter March 15, but I am sure that he will be interested in your progress.

I have ordered the two books you requested and hope they reach you in the near future. You seem to be having quite a time obtaining the publications you require, but I am glod you have been successful. I know Mr. Colons me in wishing you well on your now venture of interviewing. Please let us know if we can be of assistance.

Sincerely yours,

Secretary to Mr.



Dear Dr.

Thank you for your letter of February 3. Attached is the first payment on your project in the amount of \$2,300.

Miss my secretary, will be pleased to assist you in procuring books and references which cannot be found in take care of the costs for the purchases made here out of your future grant payments and will notify you as to expenditures.

Again, I am very pleased for the opportunity to work with you on this
interesting research task and feel sure that we can anticipate a pleasant and
productive collaboration.

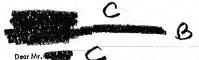
Sincerely yours,

Executive Secretary

Enclosure



February 3, 1960



Thanks yery much for your letter of January 28. Everything seems agreeable to me. As to remittance, it may be better to send me a bank draft, although a check can also be used here.

I wonder If some of your stoff would be able to spare a little time and kind enough to help me in procuring books and references which could not be found in both for my research project and for my writing up of my animal experiments which now I am doing at right as since last month, have been devoting my entire day time to work on the project supported by ... In that event, please deduct \$100 from the flat remittence you are going to send me and give the money to her for purchase of books for me and let me know her name so that I can write her from time to time.

I will write you again soon and keep you informed about the progress of my work from time to time.

Thank you again, I am very sincerely yours,





Deer Dr.

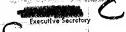
I om plecsed to inform you that grant application for two of the three years you requested. For our record, we are showing the initiation of the project as February 1, 1960. We shall provide payments on the grant sent annually if this is agreeable to you. Before the end of the two year period (i suggest in November of 1961) you write for a continuation of your grant and send in a progress report. The Directors and their scientific advisors will review the progress of the study and notify you regarding its continuation.

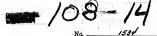
Will you please inform me as to how the payment should be made. May I transmit a check to you or would you prefer a bank credit? The following conditions apply in the utilization of these funds:

- 1. An annual progress report be submitted at the end of each year.
- 2. An annual accounting of funds expended shall be provided for our records.
- Any technical reports or papers which grow out of the study supported under this grant shall contain the following natices: "This study was supported in part (or wholly as the case may be) by a grant from the

The Board of Directors and the scientific advisers of plan me in wishing you well in this endeavor. Please call upon us for any assistance that we may be able to provide.

Sincerely yours, ...





Cost Account 2525 - 1019 - 4902

Object Class ...

Date	Remarks and References	Obligations Incurred	Obligations Liquidated	Unliquidated Balance
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BENORAHDUM FOR: COMPTROLLER

ATTENTION '

Pinance Division

SUBJECT

- MULITRA, Subproject 108

there the authority granted in the Monorandus dated

13 April 1953 from the DOI to the DO/A, and the extension of
this authority in subsequent memorands, Supproject 108 has been
approved and \$4,600.00 of the over-all Project MOUNTA funds
have been obligated to cover the subproject's expenses and
should be charged to Allotsent 0525-1009-1902.

Chief Tes/chemical Division

APPROVED FOR CHILDATION OF PURDS:

Original signal by

CERTIFY THAT PUNDS ARE AVAILABLE.

CHURCE TO ALLC ... 1 No. 0525: CON. 44

Research Director

Distribution: Oris & 2 - Addresses

1 - TSS/CC

1 - TSS/FASB



5 February 1960

MUDIKASOMSM	MAN IN A	OUTOR	WITH A ROSS W	PATRITATAN
PACES ALL MARIE DATE	FUSI	CHIEF	FIRRIGIS	DIVISION

YIA

1 TSS/Budget Officer

SUBJECT

MONERA, Subproject 108, Invoice No. 1 Allotment 0525-1009-4902

1. Invoice No. 1 is attached covering the above subproject. Payment abould be made as follows:

Cashier's check in the amount of th 600,00 drawn

2. Please forward the check to Chief, 788/Chanical Division through 788/Budget Officer by Friday, 19 February 1960.

3. This is a final invoice. Resever, since it is anticipated that additional funds will be obligated for this project, the files should not be closed.



Д--

Attachment: Invoice & Certifications

Distribution:

Orig & 2 - Addressee

2 - TSS/CD

TSS/CD: (5 Feb 60)

4

5 February 1960

MONORANDEM YORK CRIEF, PINANCE DIVISION

VIA

1 Tes/Bulget Officer

SUBJECT

| MKULIFA, Subproject 108, Invoice No. 1

Allotment 0525-1009-4902

1. Invoice No. 1 is attached covering the above subproject. Payment should be made as follows:

Cashier's check in the amount of \$4,600.00 draw payable town

2. Please forward the check to Chief, To3/Chemical Division through T33/Budget Officer by Friday, 19 February 1960.

3. This is a final invoice. However, since it is anticipated thet additional funds will be obligated for this project, the files should not be closed.

TSS/Chemical Division

Attachments Invoice & Certifications

TSS/FASB

a ULING CHICES

Distribution: Orig & 2 - Addresses

BEAFAVA BEA DOLES FART VEILESS &

BASH PAYMENT IN AMOUNT OF \$6.39949

RECEIVED. 4600.00



For services

\$4,600.00

CERTIFICATIONS

(1) It is hereby certified that this is Invoice No. 1 applying to Subproject No. 108 of NKULTAM, that performance is satisfactory, that services are being accomplished in accordance with mutual agreements, that a detailed agenda of the payments and receipts is on file in TSS/CD, that this bill is just and correct and that payment thereof has not yet been made.

Chief, TSS/Chemical Division

Dates

(2) It is horeby certified that this invoice applies to Subproject No. 103 of MULIPA which was duly approved, and that the project is being certied out in accordance with the memorandum of 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent memoranda.

Research Director

Date:

BECETOT

I hereby acknowledge receipt of the following:

Official Check No. 639949, in the amount of \$4,600.00 drawn on dated February 18,

1960, payable to

Date: 3-1-62



28 January 1960

MEMORANDEN FOR COMPTROLLER

HOTHERTA

- Pinance Division

SERVECT

1 MILLIA, Subproject 108

Under the authority granted in the Kenorenden dated 13 April 1953 from the DCI to the DD/A, and the extension of this authority in subsequent sesorands, Subproject 100 has been approved and \$4,600.00 of the ever-all Project MINISTA funds have been obligated to cover the subproject's expenses and should be charged to Allotsent 0525-1009-1902.

283/Chemical Division

PROVINCED FOR CELICATION OF PURDS:

Research Director

Distributions

Oris & 2 - Addresses

1 - TSS/CC 1 - TSS/FASB

2 - TSS/CD

TSS/CD/ (28 Jan 1960)





MEMORANDUM FOR: THE RECORD

SUBJECT

: MKULTRA, Subproject No. 108

1. Dr. has been of great assistance to Dr.

in setting up Project.

this period, Dr. independently contacted Dr.

C assistance in getting money for research work in

ork in

our suggestion, Dr

wrote Dr. to talk over his

C research plans with Dr.

with the aim of submitting a pro-

B posal to

This was done and approved for this project is requested to provide

funds for Dr. s work.

 From all sources available to us, Dr. papears to be a competent and well motivated researcher. The type of work he proposes to do is of definite interest to TSS/CD. (Proposal Attachei)

3. Funding and monitoring of this project will be handled by , in the regular manner. Accounting for the funds expended will be according to the procedures previously established by Any unused funds remaining at the conclusion of the project will be returned to the Agency.

4. The total cost of this project for a period of one year is estimated not to exceed \$4,600.00. Charges should be made against Allotment 0525-1009-4902.

5. The fact that Dr. has established a close working relationship with Dr. will make it possible to exploit the findings of this project without revealing Agency interest or participation.

Chief
TSS/Chemical Division

APPROVED FOR OBLIGATION
OF FUNDS:

Rasearch Director

Date:

Attached; Proposal Distribution; Original only

21st October, 1959.

My Dear Mr.

I am sending you herewith two copies each of the prospectus of my proposed investigation, Estimates of Annual Expenditure, and a brist biographic sketch and a list of my previous publications. I hope the will act on the prospectus favorably as you have indicated in your letter. Dr. s note on page 9 is also attached to the prospectus and estimates.

I wish to emphasize that Dr. assistance in revising this prospectus and his wisdom derive from his past experience with the requirements of have helped make the present form of presentation of my proposed plan more suitable than my orginal draft. He has spent considerable time on this prospectus and I am grateful to him.

As Dr. s research project is closely related to mine, naturally we will compara' notes from time to time, so as to assure close mutual cooperation and to avoid, if any, unnecessary duplication of work. During the progress of our work whenever either one discovers certain scientific data which might be useful to the other, I can assure you too, that we will draw each other's attention to such data.

Thanking you again for your personal interest in my work, and hoping to hear from you again before long,

I an.

very sincerely you



It has long been the opinion of the writer that the following behavior traits or behavior characteristics are the predominant characteristics of the masses. In this proposed study, the investigator proposes to relate certain ecological factors to the formation of some critical behavioral characteristics of Employing content analysis of historical records, the investigator will trace the relationship of such ecological factors as:

the geographic conditions of the population decline and growth, food supply, floods and droughts, insect infestations, pestilences, and other natural diseases, civil ware, barbartan invasions, and the political policies and education systems of the ruling from

to the formation of such behavioral characteristics as:

- Lack of religious feeling (in the sense of a supernatural being), and hence lack of an organized church;
- 2) Aversion to violence:
- 3) Passivity to brute forces, either natural or human;
- 4) Patalistic view towards life: the strong tendoncy for one to adjust or adapt to the one's environment, however adverse, rather than to modify or concur the environment;
- 5) Lack of spirit of adventure;
- 6) Lack of individual initiative;
- 7) Lack of the desire to be independent or different from others; rather, the strong tendency to conform to the existing social requirements and to imitate or follow the ones who have been successful socially or in business;
- 3) Lack of the spirit of team work;
- 9) The paradoxical tendency of the individual: On the one hand he is most self-exertifical in the family almost to the point of selflesness; on the other, outside the family, he can be most selfles without moral or legal restraints;

- 10) Indifference to the suffering of other people;
- 11) Indifference to public welfare;
- 12) The feeling of racial superiority;
- Absence of the sentiment of nationalism (and hence patriotism) (until the turn of the present century);
- 14) The strong tendency to compromise without regard to one's rights, justice or truth;
- 15) The strong tendency to look with suspicion in anything that is new (somewhat modified by the impact of the
- 16) The tendency (as it is almostly known to the in interpersonal relations;
- 17) The experent decility toward authority (which in has mintaken for "Cooperation" and has wrongly interpreted as an inherited trait developed from a "hydraulic society "founded upon the proposition that the individual had to be forced to do what was necessary for his society and thus for himself) but always with an inner feeling of bitter resentant or hatred and readiness to avenge authorities when they are overthrown and on the run.

These behavioral characteristics have confronted the with a number of serious psychological problems in their revolutionary efforts. Our second step in this study, therefore, will be to anyalyze the methods by which the Communists endeavor to colvethese psychological problems.

A third step in this study will be an investigation of the successes and failures of the Communists in their efforts to solve these problems to date; and an analysis of the major conflicts between the analysis of the major conflicts between the future.

Methods:

In parts one and two of our study we shall adopt a method of content analysis of certain historical documents and records.

In our third step of investigation we shall employ three general methods; (a) observation of the behavior of the

groups who from time to time come from the (b) interviewing these people; and (c) giving tests to the younger (school age) groups brought up in the during the last decade.

We shall design our test forms in such a way as to discover these children's attitudes towards: (1) the state vs. the individual; (2) family, parents and other relatives; (3) various religions; (4) physical soral concepts on practical matters (as against abstract moral principles); (5) economic and political systems; leading personalities in history; (7) arts, drama and literature; and (8) personal plans and personal ambitions for the future.

In our observations and interviews of the peoples who have resided in the under the Communist, openial attention will be given to the influence of the attitudes and other behavior characteristics of the older generation over the younger generation who are brought up mainly or solely under the Communist rule.

Special points of emphasis:

Based on the findings of this investigation of the behavior characteristics of the communist of the last decade a tentative prognosis will be made as to how far an accomplishment of the Communist Revolutionary effort could be expected from psychological standpoint.

Many of the above mentioned behavioral characteristics are closely interrelated. Most of these behavior traits are bred in the family from generation to generation. Therefore in our historical analysis we shall pay special attention to the clanics (the writer prefers this term to "familialism" or "family system").

formation of the personality. In our historical analysis besides the physical and economic forces, we shall give a close and critical examination to these two systems of philosophy to find out, if any, the evidences to substantiate my long suspicion that these two systems of philosophy as they are known to-day are partly original and partly invented by the later scholars since the

In addition to announced purposes and their underlying secret motives (which, as far as this writer is aware, have not even been suspected by the scholars of culture), and consequently the building up of the clite class - known to the scholars as - also had had some influence over the personality sake-up of the people, and must also receive due evaluation in our investigation.

In our examination of these two philosophical systems, we chall have occasions to gather evidence to test this writer's theory that have occasions to gather evidence to test this writer's theory that have occasions to gather evidence to test this writer's theory that have occasions of the period of deciding the period of the them prevailing social conditions or rather of the reflications of the prevailing mental moods of the masses of that period.

In the absence of a supernatural religion and an organized church, it would be a tramendously difficult task for any government to achieve social solidarity and social stability. In this task complianed by: (1) of thought came to came to

version of shich was then closely linked up with clanica;

(4) artificial creation of an elite class; (5) cetting up an artificial and maintaining a natural iron curtain throughout the centuries; and

(6) brute force, as a last resort, as a deterrent for individual deviation or disobedience. In our historical investigation we shall try to collect factual evidence showing how these phenomena were accomplished, and how they affect the modes of thinking and patterns of behavior of the people during each period.

In our study of the Communist psychological techniques in ruling

we shall try to compare the similarition and differences between

the ancient trulers and modern Communists, and to find out what

tangible effects on the behavior putterns of the masses have taken place.

In this study special attention will be devoted to the fact that in the above list of behavioral characteristics there are certain characteristics which will work favorably for the Communist efforts in remolding the society while certain others with their so deep historical erts will work against them. Our work will be trying to see how well the Communists exploit the favourable traits and what means they have exployed to combat or destroy the unfavourable ones.

Relationship to other studies:

Many of the above listed behavioral traits or characteristics of the have been frequently mentioned in the writings of the experts. But they are very often fragmentary and scattered in various books and articles and wore often than not, either erroneous, misleading or cuperficial in their interpretations or evaluations.

As far as this writer is aware, there has been no attempt to put these

known characteristics together and make a comprehensive and systematic and scientific study of them. While this writer has not had the opportunity to read the recent book by entitled on the basis of summaries and reviews the book seems to represent the personal opinions and views of the author rather than conclusions drawn from carefully and systematically analysed scientific data from both ecological and psychological points of views. The same comments may be applied to The book is an excellent and concise summary and evaluation of the opinions and views of previous writers on cultural tradition of and it has brought forth a number of behavioral characteristics of the form a part of our topics to be investigated in this proposed plan. But book represents neither a comprehensive nor a systematic study of the ecological and psychological factors which are responsible for the development of the mentality is a well-documented and first rate reference book on Communism up to 1954, but the book barely scratches the surface of many deep-rooted psychological problems which we aim to investigate in this proposed study. (Chapter 5 of this book contains a number of interesting but not altogether correct views about history and the basic structure of the society. It should be regarded as a well balanced view and preview of an author who seems to be quite familiar with the historical background of society, but it can hardly be considered a scientific approach.)

There are certain advantages for this investigation being undertaken by a trained psychologist who is completely conversant with both the mordern and the classical ctyles in the land who recides in (1) the project is primarily a psychological research; (2) the materials required for this investigation about and history, culture, and thought cannot be gathered from any anguage sources; The original texts in the are largely available (3) it is also essential to use original materials for the part of the investigations which is related to the recent Communist efforts, and these too are available (4) direct observation of behavior, personal interviews, and tests with the who have lived in the since the Communists took over the are an essential part of the proposed study. The most strategic place for gathering this kind of "live" psychological data.

Duration of project:

The magnitude of this proposal is such that many years profitably could be devoted to it. It is anticipated, however, that the most essential parts of the research and reporting can be accomplished in three years. It is hoped that the grant can be made for a minimum of two years with some assurance of continuation upon cubmission of satisfactory evidence of progress.

Suplimentary Notes

It is to be noted that the lists of ecologocal factors (ecological variables) and behavioral characteristics (defendent variables) are merely tentative ones and are to be grouped into smaller numbers of categories according to their similarities and differences in nature and according to the convenience of the enalytical procedures. And as the investigation is making progress, new ecological factors and new behavioral characteristics will appear to require attention and a regrouping or reorganization of the categories of both ecological factors and behavior characteristics will become necessary so as to include the new factors or new characteristics. Such organization and re-organization will be reported to the Society as soon as it is done.

The investigator has now commenced to work out somewhat in detail a concrete procedure for historical analysis. As doon as this is completed, a copy will be submitted to the Society. Detailed procedures for observation on behavior and interviews and giving tests will be worked out in a later stege,

It appears that the scope in the proposed plan is somewhat vast. However, as soon as the detailed haltorical procedure is worked out, the scope will be consequently narrowed down and the work in view will be more concrete and precise. The same is applied to observation of behavior, interviews and tests in a later stage of the investigation.

There is a relationship between Dr. s present research and this proposed plan which may be briefly eated stated as follows:-

(1) Both work towards the same goal: the scientific understanding of the standing of the stand

- (2) Dr. tries to reach his goal by basic scientific approach, emphasing the personality of the individual while this proposed investigation will try to reach its goal through historico-ecological approach, that is, to find its basic factors for the formation of the
- (3) Dresses aim is to discover certain an antional characteristics without presumption, hence, his method is inductive, while this proposed investigation is more or less based on the investigator's previous assumptions which are to be verified by historical analysis, hence, the method is more deductive than inductive.
- (4) Dr. (1) interest is primarily in the development of personality in the (1) as a scientific study in psychology, while the present investigator is also interested in the bearings of the historical rooted traits on Communist's revolution and vice-versa.

Estimates of Annual Expenditure

new	spapers published	including books in Communist	from which		100
cer	tain materiats te	Tared to the brokes	100000		\$ 600
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22 0	Assistant				1.200
e) One	ASSISTANT			- C	
		with the same of t		1.0	- 600
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(Fo	r contact with va	nment expenses rious persons who me the new arrivals fro	ako frequent	visito time	
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to to	contact with value and with time).	rious persons who me the new arrivals fro			200
(Fo to to	contact with value and with time).	rious persons who me the new arrivals fro llaneous expenses .			

ROTES: During the second and third years, the following items should be added to the annual estimates:

- 1) \$1,000 will be required for travelling expenses if errangements are to be made for the assistant or other persons to travel to an end come rural districts ruled by the Communist as for north as to gather first hand data.
- 2) 200 for printing interview protocols and test forms (second year) and for secretarial assistance in preparing reports.

ote: will undertake, with Dr. s approval:

- 1) to organize the ecological variables into logical categories;
- 2) to organize the defendent variables (behavioral characteristics) into categories;
- 3) to note in some details the proposed analystic procedures;
- 4) to identify the proposed relationship to my project;
- to indicate a plan for systematically reducing the scope of this study and increasing its precision.

o/08-20

November 6, 1959

MEMORANDUM TO: The Directors

UBJECT: Project Proposal, Category 3 - Dr.

The attached proposal was submitted at the request of Dr.

and was suggested by Dr.

You will note that much of the work of Dr.

has actually been in the area of animal acology but reflects a continuing interest in human behavior. It is felt that the work of Dr.

will complement Dr.

s work and will contribute to further information in support of the balls work accomplished at

Executive Secretary

Enc: 2